

I HEREBY CERTIFY THAT THIS CORRESPONDENCE IS BEING DEPOSITED WITH THE UNITED STATES POSTAL SERVICE AS FIRST CLASS MAIL IN AN ENVELOPE ADDRESSED TO: ASSISTANT COMMISSIONER FOR PATENTS, WASHINGTON, DC 20231, ON THE DATE INDICATED BELOW:

BY: Danyle Hatch

DATE: January 7, 2002

PATENT  
BOX MISSING PARTS



**IN THE UNITED STATES PATENT AND TRADEMARK OFFICE**

In Re:	Patent Application of Simon J. Rhodes, <i>et al.</i>	: Group Art Unit: 1642
		:
Appln. No.:	09/932,367	: Examiner: Not Yet Assigned
		:
Filed:	August 17, 2001	:
		:
Title:	GENERATION OF DIAGNOSTIC TOOLS TO ASSAY THE HUMAN LHX3/P-LIM/LIM-3 FACTOR	: Attorney Docket No. 53884-5003 (Client Matter No. 9935)

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**TRANSMITTAL OF MISSING PARTS OF APPLICATION –  
FILING DATE GRANTED**

In response to the Notice to File Missing Parts dated November 13, 2001, the following is submitted herewith:

- ☒ Copy of the Notice to File Missing Parts of Application – Filing Date Granted, mailed November 13, 2001.
- ☐ No Notice to File Missing Parts has been received.
- ☒ One (1) Declaration and Power of Attorney.
- ☐ Verified English translation of application as filed.
- ☐ Priority document(s): Certified copy(ies) of \_\_\_ patent application No(s). \_\_\_\_\_, filed in \_\_\_\_\_ on \_\_\_\_\_ from which priority is claimed under 35 U.S.C. §119.
- ☐ Formal drawings: \_\_\_ sheets.
- ☐ Information Disclosure Statement, Form PTO-1449, and cited references.
- ☐ Preliminary Amendment.
- ☒ Nucleotide and/or Amino Acid Sequence Submission, including:
  - ☒ Computer readable copy      ☒ Paper Copy      ☒ Verified Statement
- ☐ Petition for a \_\_\_ ( ) Month Extension of Time and requisite fee.
- ☐ Request for Refund.
- ☐ Request for Corrected Filing Receipt. Attached is a marked-up copy of the Filing Receipt showing corrections.
- ☐ Notice of Change of Address

- [X] Applicant(s), by its/their undersigned attorney, claim(s) Small Entity Status under 37 C.F.R. §1.27 as [ ] an Independent Inventor, or [ ] a Small Business Concern, or [X] a Non-Profit Organization.
- [X] The following fees noted below are being paid:
- [X] Surcharge of **\$65.00** for late filing of filing fee and/or Declaration and Power of Attorney.
  - [ ] Surcharge of **\$65.00** for late filing of English translation.
  - [ ] Surcharge of **\$200.00** for a two Month Extension of Time.
- [X] Enclosed is our firm's check in the amount of **\$65.00** for the above fee.
- [X] The Commissioner is hereby authorized to charge and/or credit Deposit Account No. 50-0310 (billing code 53884-5003) as noted below.
- [ ] The above-calculated fee(s) calculated totaling \$\_\_\_\_.00.
  - [X] Any overpayments or deficiencies in the above-calculated fee(s).
  - [X] Any additional fees required under 37 C.F.R. §1.16 or §1.17.
  - [X] In the event that a Petition for Extension of Time is required during the prosecution of this application, but not submitted, please charge any extension fee under 37 C.F.R. §1.136(a) to our Deposit Account noted above.

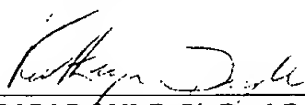
January 4, 2002  
Date

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Respectfully submitted,

**SIMON J. RHODES, ET AL.**

By:

  
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/dp

Enclosures (Check; Copy of Notice to File Missing Parts; Missing Part (executed Declaration); Sequence Listing (diskette, paper copy & Verified Statement))



## UNITED STATES PATENT AND TRADEMARK OFFICE

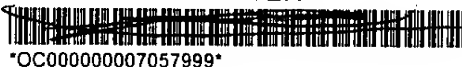
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APPLICATION NUMBER	FILING/RECEIPT DATE	FIRST NAMED APPLICANT	ATTORNEY DOCKET NUMBER
09/932,367	08/17/2001	Simon J. Rhodes	053884-5003

028977  
MORGAN, LEWIS & BOCKIUS LLP  
1701 MARKET STREET  
PHILADELPHIA, PA 19103-2921

CONFIRMATION NO. 5068

FORMALITIES LETTER



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Date Mailed: 11/13/2001

## NOTICE TO FILE MISSING PARTS OF NONPROVISIONAL APPLICATION

FILED UNDER 37 CFR 1.53(b)

*Filing Date Granted*

An application number and filing date have been accorded to this application. The item(s) indicated below, however, are missing. Applicant is given **TWO MONTHS** from the date of this Notice within which to file all required items and pay any fees required below to avoid abandonment. Extensions of time may be obtained by filing a petition accompanied by the extension fee under the provisions of 37 CFR 1.136(a).

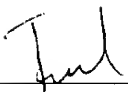
- The oath or declaration is unsigned.
- To avoid abandonment, a late filing fee or oath or declaration surcharge as set forth in 37 CFR 1.16(l) of \$65 for a small entity in compliance with 37 CFR 1.27, must be submitted with the missing items identified in this letter.
- **The balance due by applicant is \$ 65.**
- A copy of the "Sequence Listing" in computer readable form has been submitted. However, the content of the computer readable form does not comply with the requirements of 37 C.F.R. 1.822 and/or 1.823, as indicated on the attached copy of the marked -up "Raw Sequence Listing." Applicant must provide a substitute computer readable form (CRF) copy of the "Sequence Listing" and a statement that the content of the sequence listing information recorded in computer readable form is identical to the written (on paper or compact disc) sequence listing and, where applicable, includes no new matter, as required by 37 CFR 1.821(e), 1.821(f), 1.821(g), 1.825(b), or 1.825(d).

For questions regarding compliance to these requirements, please contact:

- For Rules Interpretation, call (703) 308-4216
- To Purchase PatentIn Software, call (703) 306-2600
- For PatentIn Software Program Help, call (703) 306-4119 or e-mail at [patin21help@uspto.gov](mailto:patin21help@uspto.gov) or [patin3help@uspto.gov](mailto:patin3help@uspto.gov)

A copy of this notice **MUST** be returned with the reply.

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A handwritten signature, possibly reading "Paul", is written above a horizontal line.

Customer Service Center

Initial Patent Examination Division (703) 308-1202

PART 2 - COPY TO BE RETURNED WITH RESPONSE

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